

12/21/01



JCSB U.S. PTO

Practitioner's Docket No.

GR 97 P 3021 C

12-27-01

10026265 12/21/01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## CONTINUATION-IN-PART APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of  
Inventor:

THOMAS FEHN

For (title):

METHOD OF INITIALIZING A SIMULATION OF THE BEHAVIOR OF AN INDUSTRIAL  
PLANT, AND SIMULATION SYSTEM FOR AN INDUSTRIAL PLANT

## 1. Type of Application

This new application is for a

☒ Continuation-in-part (C-I-P).

## 2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

☒ The new application being transmitted claims the benefit of prior U.S. application.  
Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

## 3. Papers Enclosed

## A. Required for Filing Date under 37 C.F.R. 1.53(b)

23 Pages of Specification3 Pages of Claims4 Sheets of Drawing☒ Formal

## B. Other Papers Enclosed

1 Page of Abstract

**4. Additional Papers Enclosed**

- ☒ Preliminary Letter  
☒ Information Disclosure Statement (37 C.F.R. 1.98)  
☒ Form PTO-1449 (PTO/SB/08A and 08B)

**5. Declaration or Oath**

- ☒ Enclosed, **unsigned**

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same.

**7. Language**

- ☒ English

**8. Assignment**

- ☒ An assignment of the invention to Siemens Aktiengesellschaft  
☒ will follow.

**9. Certified Copy**

Certified copy of application

GERMANY	197 01 928.5	January 21, 1997
Country	Application No.	Filed

from which priority is claimed

- ☒ was filed in parent application No. 09/358,288

**11. Fee Payment Being Made at This Time**

<input checked="" type="checkbox"/> Filing fee	\$740.00
Total Fees Enclosed	<u>\$740.00</u>

## 12. Method of Payment of Fees

☒ Payment in the amount of \$740.00

## 15. Authorization to Charge Additional Fees

☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-1099.

☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)

☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)

☒ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☒ 37 CFR 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a).

☒ 37 C.F.R. 1.17 (application processing fees)

☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

## 16. Instructions as to Overpayment

☒ Credit Account No. 12-1099.

☐ Refund



SIGNATURE OF PRACTITIONER

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Date of Deposit: December 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202



MICHAEL J. BURNS

☒ **Incorporation by reference of added pages**

*(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)*

- ☒ Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added 1

- ☒ Plus Added Pages for Papers Referred to in Item 4 Above

Number of pages added 5

- ☐ Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.

Number of pages added \_\_\_\_\_

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added \_\_\_\_\_

☐ **Statement Where No Further Pages Added**

*(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)*

- ☐ This transmittal ends with this page.

**ADDED PAGE FOR SPECIAL COMMENTS FOR NEW APPLICATION TRANSMITTAL**

This continuation-in-part application claims the benefit of U.S. application No. 09/358,288, filed July 21, 1999 which was a continuation of copending International Application PCT/DE98/00047, filed on January 8, 1998 which designated the United States.